

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of:	)	
	)	
Peter Michael BAUMGART	)	
	)	Group Art Unit: TBA
Serial No.: TBA	)	
	)	
Filed: October 22, 2003	)	Examiner: TBA
	)	
For: MAGNETIC RECORDING DISK	)	
DRIVE WITH ACTIVELY	)	Atty Dkt No. HSJ920030148US1
CONTROLLED ELECTRIC	)	
POTENTIAL AT THE HEAD/DISK	)	
INTERFACE FOR WEAR AND	)	
DURABILITY CONTROL	)	

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.56 and in compliance with 37 C.F.R. § 1.97(b), Applicants submit herewith a Form PTO-1449 listing a publication for consideration in connection with the above-identified application. A copy of the publication is provided herewith.

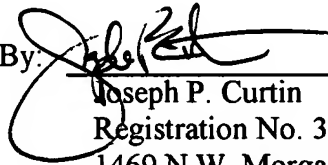
Additionally, Applicants wish to draw the Examiner's attention to concurrently filed and concurrently pending Application Serial No. (Attorney Docket No. HSJ920030149US1), entitled "Method For Actively Controlling Electric Potential At The Head/Disk Interface Of A Magnetic Recording Disk Drive," which is incorporated by reference into the present patent application.

Applicants respectfully request that the Examiner consider and enter these documents into the file of the above-identified application. If any additional fee is deemed necessary, the

Commissioner is authorized to charge Deposit Account No. 50-2587.

A general authorization under 37 C.F.R. § 1.25(b), second sentence, is hereby given to credit or debit Deposit Account No. 50-2587 for the instant filing and for any other fees during the pendency of this application under 37 C.F.R. §§ 1.16, 1.17 and 1.18.

Respectfully submitted,

By: 

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Dated: October 22, 2003

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**Complete if Known**

*(Use as many sheets as necessary)*

Sheet

1

of

2

Application Number

TRA

Filing Date

October 22, 2003

**First Named Inventor**

**Peter Michael BAUMGART**

**Art Unit**

TBA
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Examiner Name

TBA

Attorney Docket Number

HSJ920030148US1

[illegible][illegible]

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, Washington, DC 20231.**

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete If Known</b>	
				Application Number	TBA
				Filing Date	October 22, 2001
				First Named Inventor	Peter Michael BAUMGART
				Art Unit	TBA
				Examiner Name	TBA
Sheet	1	of	2	Attorney Docket Number	HAJ920030148US1

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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